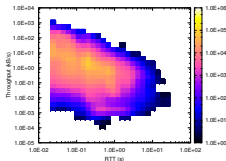


On the relationship between fundamental measurements in TCP flows

Or when is TCP not TCP?



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(Prepared using \LaTeX and beamer.)

Studying TCP in the wild

Mathematical starting point

Padhye et al – bandwidth (throughput) of TCP flow at equilibrium:

$$T = \frac{1}{D} \sqrt{\frac{3}{2bp}} + o(1/\sqrt{p}),$$

where D is RTT (delay), p is the probability of packet loss and b is a fixed TCP parameter.

- Result (simplified version presented) is from mathematical model with many assumptions.
- Subsequent work generalises and improves – basic inverse dependence on RTT and \sqrt{p} remain fundamental.
- Beautiful mathematically, how is it statistically?
- Is TCP doing what we think it does and if not, why not.

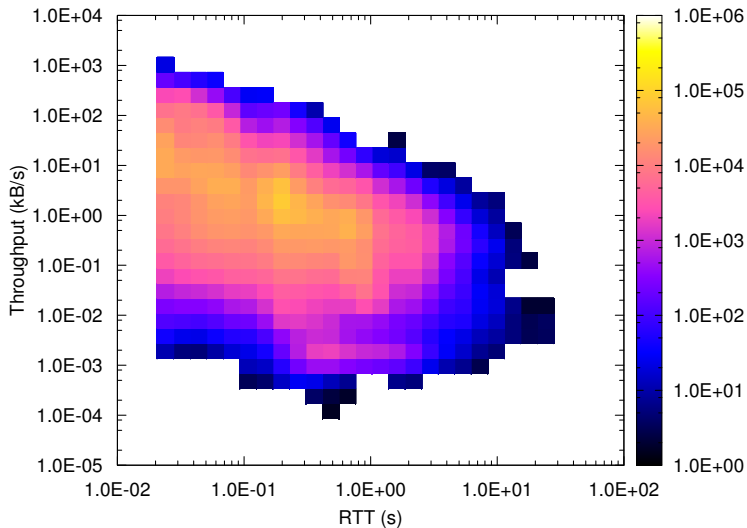
Data and analysis approach

- Basic approach – use **lots** of freely available packet traces.
- Test both diverse data sets and similar data sets.
- Reconstruct TCP flows – calculate RTT, loss etc. Fit formulae relating these quantities.
- Data used CAIDA (US based data) MAWI (Japanese based data):
 - CAIDA OC48 Traces (2002) — 3 hours of data: 1.4 billion packets originally 876GB of data.
 - CAIDA OC192 (2011A) — 26 minutes of data: 1.3 billion packets originally 662GB of data.
 - CAIDA OC192 (2011B) — 14 minutes of data: 0.927 billion packets, 582 GB of data.
 - CAIDA OC192 (2012) — 29 minutes of data 1.6 billion packets and 1,120 GB of data.
 - MAWI (2006–2012) — 15 minute samples once per month, 1.36 billion packets and 982 GB of data.

Fundamental relationships within TCP flows

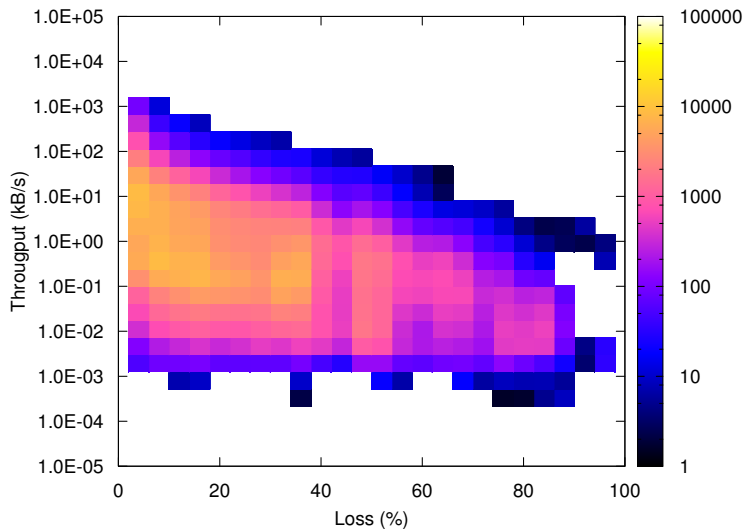
- In reality very little TCP is really TCP in the old-fashioned sense.
- TCP can be application limited (YouTube).
- TCP can be sender or receiver window limited.
- TCP can be limited by middleboxes.
- Ignoring all of this, what is the best relationship which ties network parameters to TCP performance.
- Step 1: graphically investigate the relationships in the data sets.
- Step 2: statistically fit equations which relate the parameters: throughput, loss, RTT, flow length.
- Step 3: attempt to classify flows by “cause” of delay.

Visualising correlations throughput/RTT



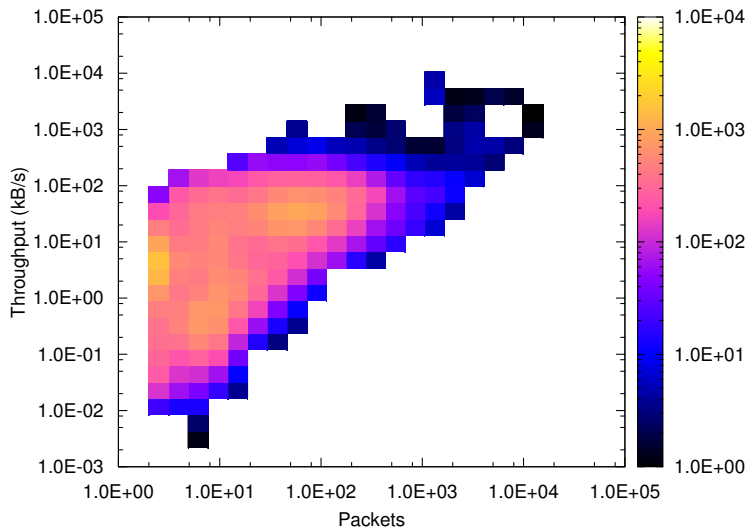
OC48 — relationship between throughput and RTT

Visualising correlations throughput/loss



MAWI — relationship between throughput and loss

Visualising correlations – throughput/packets



OC192 2012 — relationship between throughput and number of packets in flow

Fitting a Linear Model

- Use common statistical technique of linear model fitting.
- Fit log of data and use exponential transform to get $T = \beta_0 D^{\beta_1} p^{\beta_2} \varepsilon'$ where ε' is mean 1, lognormal).
- With $\beta_1 = -1$ and $\beta_2 = -0.5$ this is $T = \beta_0 / D \sqrt{p}$ (and error term).
- Throw in a **lot** of data from TCP flows, fit the best β_i for various models.
- Goodness of fit judged by R^2 value where $R^2 = 1$ is perfect and $R^2 = 0$ is no fit at all (amount of variance “explained” by model).
- Taking logarithms a problem for loss as sometimes $p = 0$ – use instead $\log p + p_m$ where p_m is a fitted offset parameter.
- Standard calibrate, cross-validate, test statistical methodology used.

CAIDA OC192 2012 data

Model for T	R^2	Note
$15.7D^{-0.94}(p + p_m)^{-0.563}P^{0.456}$	0.641	$p_m = 0.105$
$77.2D^{-0.975}P^{0.455}$	0.635	
$316/(D\sqrt{p + p_m})$	0.0227	$p_m = 0.105$

- Excellent fit to data.
- Loss p slightly improves model but not much.
- Best model is approx $T = k\sqrt{P}/D$ where k is constant.

Model summaries

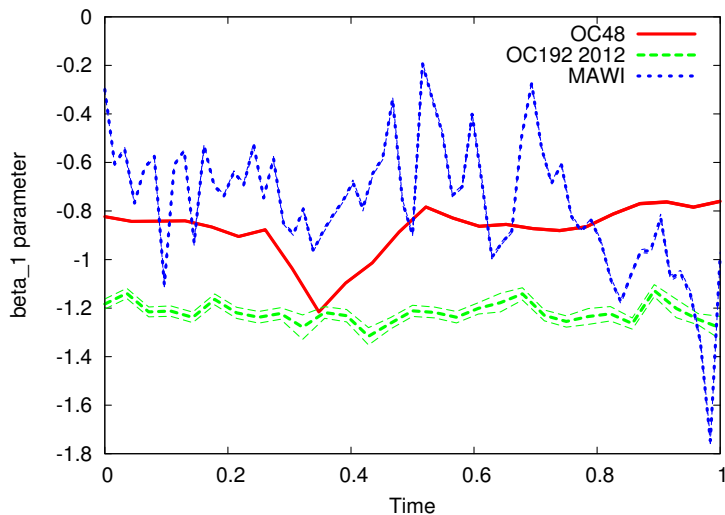
P flow length in packets, D delay (RTT), T throughput, p loss.

Data	Model for T	R^2
OC48	$29.7D^{-0.89}P^{0.354}$	0.35
OC192 2011A	$4.62D^{-0.698}P^{0.41}$	0.448
OC192 2012B	$156D^{-0.981}P^{0.386}$	0.611
OC192 2012	$77.2D^{-0.975}P^{0.455}$	0.635
MAWI	$1.65D^{-0.711}P^{0.558}$	0.261
MAWI w loss	$0.15D^{-0.664}(p + p_m)^{-0.416}P^{0.635}$ (*)	0.282

(*) $p_m = 0.0132$

- Summary – mostly surprisingly good fit from simple model
- Best model approximately $1/\text{RTT}$ and square root of number of packets
- RTT and length predicts throughput well – loss rarely useful

Parameter dynamism

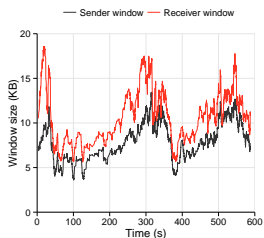
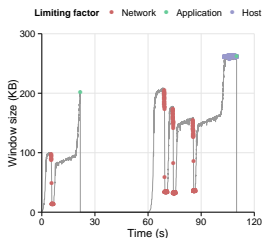
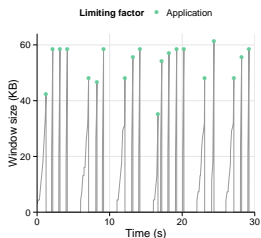


Evolution of β_1 parameter in model $T = \beta_0 D^{\beta_1}$ across normalised time

Why doesn't TCP fit the Padhye model?

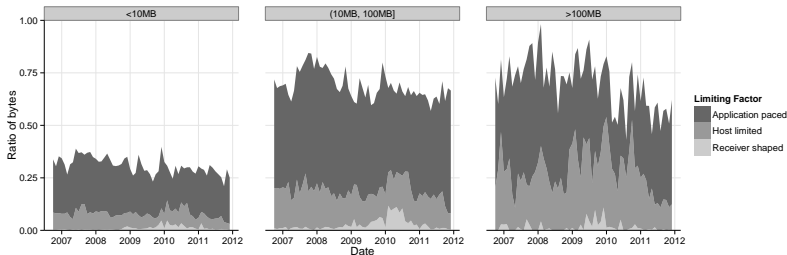
- **Main reason** I am knowingly misapplying the model (not steady state, loss should be average over all flows etc).
- However, other mechanisms interfere with TCP behaviour:
 - ① Application paced – think youtube. Sender limits flow by only sending limited amounts to throttle their bandwidth.
 - ② Host limited – sender or receiver have limited maximum window size.
 - ③ Receiver shaped – receiver or middlebox manipulates advertised window size.
- These mechanisms were investigated by classifying flows according to the type of limit on bandwidth.

Classifying TCP



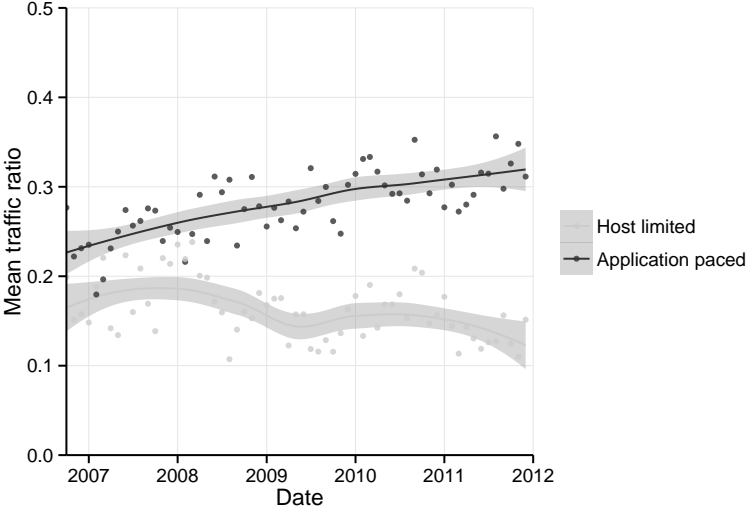
- Application paced – look for flights with pauses between them.
- Host limited – look for hard “ceiling” in window size.
- Receiver shaped – look for correlation between sender + receiver window when no loss observed.

MAWI data by limitation type



Note that for smaller flows it may be simpler harder to identify a limitation the default assumption is none.

MAWI data by limitation type



Application limiting is a growing trend.

Conclusions and further work

- Very very simple models of TCP throughput are often surprisingly good.
- If you know the RTT it would be relatively simple to produce a good estimate of flow completion time in real time.
- Length of flow is very important to throughput as is delay.
- Packet loss does not have as significant an impact – even though it was often high.
- Most TCP (in the MAWI data) is not what we think it is.
- The majority of TCP flows are not limited by loss or delay.
- TCP is not doing what we tell people it is – it has been repurposed deliberately or accidentally.

Questions?

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